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October 15, 2001  
Date of Deposit

*Joseph A. Walkowski*  
Signature of person mailing transmittal

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: A. Mai

Group Art Unit No.: 2814

Applicant(s): Pan et al.

Filing date: February 26, 1999

Serial No.: 09/259,145

For (title): WELL-DRIVE ANNEAL TECHNIQUE USING  
PREPLACEMENT OF NITRIDE FILMS FOR ENHANCED  
FIELD ISOLATION

## COMMUNICATION TRANSMITTAL

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).  
☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.  
☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.  
☐ Preliminary amendment.  
☒ Amendment or other communication in response to the non-final office action mailed July 24, 2001.  
☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed.  
☐ Petition for Extension of Time in duplicate with check no. in the amount of \$.  
☐ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of).  
☐ Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.  
☒ Transmittal of Formal Drawings (1 page); and Formal Drawings (14 sheets, 27 figures).

## Remarks:

- ☒ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3	SEXTA
Total claims	17	-	17	= 0 x 18.00 = 0
Indep. claims	4	-	4	= 0 x 84.00 = 0
First presentation of a multiple dep. claim (+280.00)				
SUBTOTAL				0
Reduction for small entity - 50% of subtotal*				
TOTAL ADDITIONAL FEE (subtotal minus any reduction)				0

\*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

- 1 Claims remaining after amendment.  
2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.  
3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

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Date: October 15, 2001

Enclosures: As identified above

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PATENT  
Attorney Docket No. 3027.1US (96-0684.1)2814  
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